

# Beneficial Owner's Declaration

## 1. GENERAL INFORMATION

Name (First, Last, Middle)	
Previous name (if applicable)	
Citizenship	
Identity document	Type                      Series                      Number                      Issue date
Residential address	
Occupation (recent professional activity)	
Current (last) employer (if applicable)	

## 2. SOURCE OF WEALTH\*

<input type="checkbox"/>	Salary and compensation	<input type="checkbox"/>	Family funds	<input type="checkbox"/>	Heritage	<input type="checkbox"/>	Own business	<input type="checkbox"/>	Investments
<input type="checkbox"/>	Royalties	<input type="checkbox"/>	Other						

\* The origin of funds directed by the Beneficial Owner for commencing and financing the company (the Client). The Client (Beneficial Owner) should be prepared to produce the respective documentary evidence, e.g. public or employer's open records of the position occupied, employers' references, salary slips, own firm's financial statements, tax accounts, etc. For family funds the respective documents (financial accounts, etc.) may be requested.

## 3. BENEFICIAL OWNER PERSONAL DECLARATIONS

Question	YES	NO	If yes, please elaborate
Have you been in 5 recent years a Politically Exposed Person (PEP)? Please also indicate if you are or have been an immediate family member or a close associate of such a person. * ( <i>see Definitions below</i> )	<input type="checkbox"/>	<input type="checkbox"/>	
Have you ever been convicted or are any charges or investigation procedures pending against you for offences or infringements involving fraud or moral demerit, including offences against the legitimate goods of ownership and property (e.g. theft, embezzlement, fraud), bribery and venality, breach of trust, forgery, issue of bad checks, tax evasion, either in Cyprus or abroad?	<input type="checkbox"/>	<input type="checkbox"/>	
Have you ever been convicted or are any charges or investigation procedures pending against you for offences or infringements concerning money laundering activities, either in Cyprus or abroad?	<input type="checkbox"/>	<input type="checkbox"/>	
Have you ever been convicted or are any charges or investigation procedures pending against you for offences or infringements concerning the undue exploitation of confidential/privileged information, either in Cyprus or abroad?	<input type="checkbox"/>	<input type="checkbox"/>	
Have you ever been convicted or are any charges or investigation procedures pending against you for offences or infringements concerning manipulation of the stock market price of a financial instrument, which was subject to trading on an organized market, either in Cyprus or abroad?	<input type="checkbox"/>	<input type="checkbox"/>	
Have you ever been convicted or are any charges pending against you for any other punishable act, which is not related to the exercise of your professional duties, either in Cyprus or abroad?	<input type="checkbox"/>	<input type="checkbox"/>	
Have you ever been involved in cases (including also pending cases), which were the object of an administrative or disciplinary control or the imposition of administrative or disciplinary	<input type="checkbox"/>	<input type="checkbox"/>	

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Signature of the person signing on behalf of the entity

sanctions by competent supervisory authorities, previous employers or professional bodies and associations, either in Cyprus or abroad, in terms of: i. Serious administrative or disciplinary infringements, or ii. Inadequate execution of the duties assigned to you, or iii. Infringements of the internal rules of operation of the firm or the code of ethics and professional conduct?			
Have your license for the exercise of a specific business activity or your membership status ever been revoked by competent supervisory authorities or professional bodies and associations (including also pending cases), either in Cyprus or abroad?	<input type="checkbox"/>	<input type="checkbox"/>	
Have you ever been involved in judicial proceedings (including also pending cases) concerning the payment of damages in relation to the provision of investment and ancillary services, either in Cyprus or abroad?	<input type="checkbox"/>	<input type="checkbox"/>	
Have any complaints or protests ever been, specifically and justifiably, filed in writing against you (including also pending cases) in relation to investment and ancillary services, for the provision of which you were responsible, either in Cyprus or abroad?	<input type="checkbox"/>	<input type="checkbox"/>	
Have you ever been declared bankrupt during the last ten years (including also pending cases) or have any of your assets been confiscated or have any of your bills of exchange or notes been subject to protest or were you obliged to transfer any of your assets to your creditors or have you failed to fulfill your obligations arising from a verdict against you within one year from the issue of such verdict, either in Cyprus or abroad?	<input type="checkbox"/>	<input type="checkbox"/>	
Have you ever obstructed the efficient exercise of supervision by a competent supervisory authority in the broader financial sector?	<input type="checkbox"/>	<input type="checkbox"/>	
State, whether your auditors have been replaced during the last five years (if applicable).	<input type="checkbox"/>	<input type="checkbox"/>	
Have, during the last ten years, any extraordinary audits been conducted on your financial and accounting records by a supervisory authority, either in Cyprus or abroad?	<input type="checkbox"/>	<input type="checkbox"/>	
Have you been, during the last ten years, subjected to an investigation inquiry by a supervisory authority, either in Cyprus or abroad?	<input type="checkbox"/>	<input type="checkbox"/>	
Do you or your immediate family members or close associates hold a position in any government body? If yes, please provide details.	<input type="checkbox"/>	<input type="checkbox"/>	

**I hereby certify that the information in this Declaration is true to the best of my information, knowledge and belief.**

Name of person signing

Date

Signature

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Signature of the person signing on behalf of the entity

## Definitions

1. **“Politically Exposed Persons”** are individuals who are or have been entrusted with prominent public functions, for example Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials.

PEP includes the natural persons to whom or who have been entrusted with the following prominent public functions in the Republic or abroad:

- (a) heads of State, heads of government, ministers and deputy or assistant ministers,
  - (b) members of parliaments or of similar legislative bodies,
  - (c) members of governing bodies of political parties,
  - (d) members of supreme courts, of constitutional courts or of other high-level judicial bodies whose decisions are not subject to further appeal, except in exceptional circumstances,
  - (e) members of courts of auditors or of the boards of central banks,
  - (f) ambassadors, chargés d'affaires and high-ranking officers in the armed forces,
  - (g) members of administrative, management or supervisory bodies of governmental businesses or stated owned enterprises,
  - (h) directors, deputy directors and members of the board of directors or persons holding an equivalent position in an international organization,
  - (i) mayors.
2. **“Immediate family members”** of a PEP includes the following persons:
    - (a) the spouse, or a person treated as a spouse of a PEP,
    - (b) the children of a PEP, the spouses of the children of a PEP or persons treated as a spouse of children of a PEP,
    - (c) the parents of a PEP.
  3. **“Persons known to be close associates”** of a PEP means a natural person:
    - (a) who is known to be joint beneficial owner of a legal entity or legal arrangement, or is connected to any other close business relationship with a PEP,
    - (b) who is the sole beneficial owner of a legal entity or legal arrangement which is known to have been set up for the benefit de facto of a PEP.

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